

12/18/01

31058 U.S. PTO

UTILITY  
PATENT APPLICATION  
TRANSMITTALOnly for new nonprovisional applications under  
37 C.F.R. § 1.53(b)

Attorney Docket No.: AESN3005

First Inventor: Pham, Duc

Title: Network Media Encryption Architecture and  
Methods for Secure Storage

Express Mail Label: EV 003 785 696 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application  
contents.ADDRESS  
TO:Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Applicant claims Small Entity Status
3. ☒ Specification [Total Pages 53]
4. ☒ Drawings [Total Sheets 9]
5. ☒ Declaration [Total Pages 3]
- a. ☒ Newly Executed
- b. ☐ Copy from a prior application
- i. ☐ Deletion of Inventor(s)
6. ☐ Application Data Sheet
7. ☐ Microfiche Computer Program  
(Appendix)
8. ☐ Nucleotide and/or Amino Acid Seq.

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers
10. ☐ 37 C.F.R. § 3.73(b) Statement
- ☐ Power of Attorney
11. ☐ English Translation Document
12. ☐ IDS and IDS Citation Copies
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Certified Copy of Priority Doc(s)
16. ☐ Nonpublication Request under 35  
USC 122 (b)(2)(B)(i). Must attach  
form PTO/SB/35
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING/PROVISIONAL APPLICATION, check appropriate box, and supply the  
requisite information below and in a preliminary amendment:☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) ☐ Provisional

Prior Application No: \_\_\_\_\_ Filed: \_\_\_\_\_ Examiner: \_\_\_\_\_ Group/ Art Unit: \_\_\_\_\_

Title: \_\_\_\_\_

- 18a. ☐ The accompanying Applications Papers are a true copy of the prior complete  
application identified above.
- 18b. ☐ Applicant(s) claim priority under 35 U.S.C. § 1.120 from the prior complete  
application identified above.
- 18c. ☐ Applicant(s) claim priority under 35 U.S.C. § 1.119(e) from the provisional application  
identified above.

## 19. Correspondence Address

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PATENT TRADEMARK OFFICEName Gerald B. Rosenberg Reg. No: 30,320


Signature

Date: Dec 3, 2001

# FEE TRANSMITTAL for FY 2001

Application Number:	N/A
Filing Date:	December 3, 2001
First Inventor:	Pham, Duc
Examiner Name:	
Art Unit:	
Total Amount of Payment	\$ 682.00
Attorney Docket No.:	AESN3005

METHOD OF PAYMENT		3. ADDITIONAL FEES																																																									
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: <u>50-0890</u> Deposit Account Name: <u>Gerald B. Rosenberg</u> <input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See, 37 C.F.R. 1.27 <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Other		130.00 Surcharge - late filing fee or oath 50.00 Surcharge - late provisional filing fee or cover sheet 130.00 Non-English specification 2,520.00 For filing a request for reexamination 110.00 Extension for reply within first month 400.00 Extension for reply within second month 920.00 Extension for reply within third month 1440.00 Extension for reply within fourth month 1960.00 Extension for reply within fifth month 310.00 Notice of Appeal 310.00 Filing a brief in support of an appeal 270.00 Request for oral hearing 1,510.00 Petition to institute a public use proceeding 110.00 Petition to revive - unavoidable 1,240.00 Petition to revive - unintentional 1,280.00 Utility issue fee (or reissue) 130.00 Petitions to the Commissioner 50.00 Processing fee under 37 C.F.R. 1.17(q) 180.00 Submission of Information Disclosure Statement 40.00 Recording each patent assignment per property 710.00 Filing a submission after final rejection 710.00 For each additional invention to be examined Other _____ Small Entity? YES / NO <input type="checkbox"/> x 0.5																																																									
<b>FEE CALCULATION</b> <b>1. BASIC FILING FEE</b> <table border="1"> <thead> <tr> <th>Large Ent.</th> <th>Small Ent.</th> <th>Fee Description</th> <th>FEE PAID</th> </tr> </thead> <tbody> <tr> <td>740.00</td> <td>370.00</td> <td>Utility filing fee</td> <td>370.00</td> </tr> <tr> <td>320.00</td> <td>160.00</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>490.00</td> <td>245.00</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>710.00</td> <td>355.00</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>160.00</td> <td>80.00</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (1)</td> <td>370.00</td> </tr> </tbody> </table> <b>2. EXTRA CLAIM FEES</b> <table border="1"> <thead> <tr> <th colspan="2">Total Claims</th> <th>Extra Claims</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>36</td> <td>- 20* =</td> <td>16</td> <td>x 18.00 = 288.00</td> </tr> <tr> <td>Independent Claims</td> <td>7 - 3* =</td> <td>4</td> <td>x 84.00 = 336.00</td> </tr> <tr> <td colspan="2">First Multiple Dependent</td> <td></td> <td>+ 280.00 =</td> </tr> <tr> <td colspan="2">*or number previously paid, if greater</td> <td></td> <td></td> </tr> <tr> <td colspan="2">Small Entity?</td> <td>YES</td> <td>x 0.5</td> </tr> <tr> <td colspan="3">SUBTOTAL (2)</td> <td>312.00</td> </tr> </tbody> </table>		Large Ent.	Small Ent.	Fee Description	FEE PAID	740.00	370.00	Utility filing fee	370.00	320.00	160.00	Design filing fee		490.00	245.00	Plant filing fee		710.00	355.00	Reissue filing fee		160.00	80.00	Provisional filing fee		SUBTOTAL (1)			370.00	Total Claims		Extra Claims	Fee Paid	36	- 20* =	16	x 18.00 = 288.00	Independent Claims	7 - 3* =	4	x 84.00 = 336.00	First Multiple Dependent			+ 280.00 =	*or number previously paid, if greater				Small Entity?		YES	x 0.5	SUBTOTAL (2)			312.00	SUBTOTAL (3)	
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